



11/14/01
DELAND LAW OFFICE
P.O. Box 69
Klamath River, CA 96050-0069
(530) 465-2430

11-26-01
PATENT APPLICATION TRANSMISSION



Atty. Docket No. SIC-00-001-4
"Express Mail" Label No. ET 101634909 US
Date of Deposit November 14, 2001

**BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS
Washington, D. C. 20231**

Commissioner:

Transmitted herewith for filing is the [] patent application,
[] continuation, [X] divisional, [] continuation-in-part
patent application of prior application No. 09/519,014

Inventor(s): KENJI OSE

For: SWITCH STYLE BICYCLE SHIFT CONTROL DEVICE

Enclosed are:

[X] 17 pages of specification, 7 pages of claims, and 1 page of abstract.
 [X] 15 sheet(s) of [] formal [X] informal drawing(s), as originally filed, **PLUS** 15 sheets of formal drawings.
 [] A [] signed [] unsigned Declaration & Power of Attorney.
 [X] A [X] signed [] unsigned Declaration, [] newly executed or [X] copy from a prior application (37 CFR 1.63(d)).
 [] Deletion of Inventor(s): Signed statement attached deleting inventor(s) named in the prior application, 37 CFR 1.63(d)(2) and 1.33(b).
 [X] A Power of Attorney by Assignee with Certificate Under 37 C.F.R. Section 3.73(b).
 [X] A Preliminary Amendment.
 [] Please cancel claim(s) _____.
 [] A certified copy of the following priority document(s): _____
 [X] Information Disclosure Statement under 37 CFR 1.97 with copies of cited references.
 [X] Return Receipt Postcard (MPEP 503)
 [X] Incorporation By Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

The filing fee has been calculated as shown below:

Fee Calculation

(Col. 1)	(Col. 2)	
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	4-20=	0
INDEP CLAIMS	1-3=	0
[] MULTIPLE DEPENDENT CLAIM PRESENTED		

*If the difference in Col. 1 is less than zero,
enter "0" in Col. 2

[X] A check for \$ 740 is enclosed.

Two copies of this sheet are enclosed.

SMALL ENTITY		OTHER THAN A SMALL ENTITY	
RATE	FEES	RATE	FEES
	\$370		\$740
x9=		x18=	\$
x42=	\$	x84=	\$
+140=	\$	+280=	\$
TOTAL	\$	TOTAL	\$740

Respectfully submitted,
DELAND LAW OFFICE

James A. Deland

James A. Deland
Reg. No.:31,242
Attorney for Applicant

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VIA EXPRESS MAIL

PATENT

H3

Attorney Docket No. SIC-00-001-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:) Examiner: Unassigned
KENJI OSE) Art Unit: Unassigned
Application No.: To be assigned)
Filed: Herewith)
For: SWITCH STYLE BICYCLE SHIFT)
CONTROL DEVICE)

)

Official Draftsperson
Commissioner for Patents
Washington, D.C. 20231

Official Draftsperson:

Enclosed are fifteen (15) sheets of formal drawings to be made of record in this case.

Respectfully submitted,

James A. Deland

James A. Deland
Reg. No. 31,242

DELAND LAW OFFICE
P.O. Box 69
Klamath River, CA 96050-0069
(530) 465-2430

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Attorney Docket No. SIC-00-001

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Applicant: KENJI OSE

Application No.: 09/519,014 Filed: March 3, 2000

Entitled: SWITCH STYLE BICYCLE SHIFT CONTROL DEVICE

SHIMANO, INC., a JAPANESE CORPORATION

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is:

1. the assignee of the entire right, title and interest; or
2. An assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel , Frame(s) , or for which a copy thereof is attached.

OR

B. A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

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The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.
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Copies of assignments in the chain of title are attached.

Additional documents in the chain of title are attached:

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

Date

June 5, 2000

Name

: KOZO KITAGAWA

Title

: Assistant Director, Patent and Trademark Department

Signature

: Kozo Kitagawa

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PATENT APPLICATION

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SWITCH STYLE BICYCLE SHIFT CONTROL DEVICE

Inventor:

Kenji Ose

Assignee:

SHIMANO, INC.